

郭守敬望远镜（LAMOST）

光谱巡天出版政策

1. 前言

本文件是有关郭守敬望远镜光谱巡天（GSJTSS）的科学类及技术类产出的出版政策和指南。GSJTSS 由两部分组成：LAMOST 河外巡天（LEGAS）、LAMOST 银河系结构巡天（LEGUE）。设立 GSJTSS 出版政策的目的是，在于提升 GSJTSS 各类产出在科学及技术方面的准确性，并保证出版物作者本人和其他个人（包括对郭守敬望远镜的建设、仪器、软件、运行等做出贡献的个人）在出版物方面公正的权利。同时，鼓励 GSJTSS 参与者利用数据库完成优秀的科学研究并及时发表。本文件相当于文章发表的协议，用以减少未来 GSJTSS 参与者中的异议。除非特别声明，此文档规定内容适用于所有基于 GSJTSS 尚未公开的数据所发表的产出，包括 LEGAS 和 LEGUE 巡天数据，以及先导巡天数据。

此政策适用于：基于对 GSJTSS 未释放数据的分析取得的，而不为非 GSJTSS 参与者可以获得的（即通过互网站，或公开发布的电子预印本），以电子的或硬拷贝的形式存储的所有电子文献数据库和学术期刊的文章；有关 GSJTSS 的科学和技术类产品的书籍或书籍章节；学位论文；非 GSJTSS 参与者获得的基于对 GSJTSS 未释放数据的分析取得的、以电子的或硬拷贝的形式存储的图形、表格材料或讨论结果。使用 GSJTSS 已公开不足一年的数据，但相关工作起步时间先于数据公开的工作，其产出发表同样须遵从此政策。此文件没有直接述及的其它交流形式，包括口头报告、张贴报告、科普文章与书籍、通报、电报、电子公告、新闻发布等，均须遵循此文件。

GSJTSS 出版政策执行情况的监督工作由 GSJTSS 出版协调员负责，协调员是协作委员会（CoCo）的成员。随着巡天进行，出版协调员根据 CoCo 的建议，将出版政策修订意见提交科学委员会核准。出版协调员掌握 GSJTSS 数据政策中所述的批准课题、课题参与者，以及 LAMOST 建设者的名单。

2. 论文类别

此处区分几类产出：“科学类文章”、“技术类文章”、“数据发布相关文章”、“无需审稿的会议文集”、“摘要”和“学位论文”。科学类文章是基于对 GSJTSS 数据的分析或介绍、展示。技术类文章是描述 GSJTSS 的仪器、定标、软件、巡天战略、选源算法。为起到例证、说明作用，技术类文章可以包含 GSJTSS 的数据。数据发布相关文章的内容是对公众释放的 GSJTSS 数据的描述。无需审稿的会议文集文章是简短（少于 6-ASP 页）的阶段进展报告，一般不会成为技术信息或科学成果的主要引用。摘要（少于 500 字）是对口头报告或海报的简短概括。对公众公开的摘要须遵从数据政策。

3. 出版协调员

出版协调员将确保出版物依据本文件所规定的出版程序。为有助于此，协调员需要维护一个公用的电子档案（基于因特网，下文统称之出版物档案），包含

所有的 GSJTSS 出版物，以及附带的相关图形及表格资料。此出版物档案应当只可被核准的参与者访问，外部合作者通常没有访问权限。出版物档案应包含下述所有权信息及公众文档链接：

- i. 经核准的课题及团队成员列表
- ii. 可供合作者审核的文章
- iii. 经核准可公开发布或投期刊的文章
- iv. 所有出版物必须包含的 GSJTSS 致谢标准格式（见**数据政策**）
- v. 在所有出版物中必须引用的技术类文章列表
- vi. 当前的**建设者**列表
- vii. 当前版本的出版政策

此出版物档案库中经核准予以发布的文章，可以被任何 GSJTSS 参与者用于向公众介绍展示、回顾性文章等。运行中心将协调技术类文章的出版，以确保工程技术性文档有效及时的传播。科学委员会将负责协调数据发布类文章的出版。

在出版物投出或公开释放前的一个特定周期（见不同类出版物的相应说明），由核准课题的负责人向出版协调员提交出版物进行复审。在此复审期间，GSJTSS 成员可获取此文章，并可以向文章作者或出版协调员提交评论及建议。出版协调员将检查每篇文章的作者列表是否恰当，是否引用了合适的技术类文章。此时，**建设者**可以向课题负责人提出加入作者列表。如果在复审期间文章改动明显，尤其是结论做了改动，则此文章必须重回复审。文章作者列表中的任何人都可以在任意时间向课题负责人提出要求从作者列表中移除她/他的姓名，从而其姓名最终会被移除。

有关出版物事项产生的争议，将由仲裁委员会（ArCo）负责解决。

4. 标准致谢

所有被归入此政策适用范围的 GSJTSS 文章，须包含标准的 GSJTSS 致谢内容。GSJTSS 标准致谢内容由发言人维护，并在出版物档案中公示。若因长度问题无法在相应出版物中列出全文，作者应向出版协调员发出请求，请求只包含部分致谢内容。

5. 成员和合作者

成员和合作者由 GSJTSS **数据政策**规定，且政策中也描述人员名单如何更新。所有成员及合作者在获准接触 GSJTSS 数据之前，必须阅读并同意此文件内容。所有成员及合作者必须遵守此文件所述的有关文章作者、出版的规定。凡有违反相应规定者将失去获取数据的权限，相关程序依据 GSJTSS **数据政策**中所列条款执行。

6. LAMOST 建设者

为保证建设及维护 GSJTSS 硬件、软件、以及其他基础设施的人员有合适的权利，GSJTSS **数据政策**制定一份 LAMOST **建设者**名单，并随时保持更新。此列表张贴于出版物档案中。每位 LAMOST 建设团队成员自动拥有如下权力：请

求加入每个 GSJTSS 的科学类和数据发布类文章的作者名单。

7. 重点课题

被视为 GSJTSS 核心的科学课题称为重点课题。重点课题由科学委员会核准。重点课题可由若干个子课题组成，每个子课题依据科学类文章出版政策，可独立产出文章。重点课题的负责人作为研究计划的组织者，负责核准此课题产出相关文章的作者次序及此课题产出文章的时机。重点课题负责人应确保将团队成员中确实参与工作者列入文章作者，在撰写每篇文章时投入工作量最大的列为第一作者。

8. 科学类文章

任何科学类文章的作者列表中应至少包含：对相关的科学分析、介绍有所贡献的人，以及请求加入作者列表的**建设者**。此外，任何重点课题或一般课题的团队成员，若其确实曾对该课题有显著贡献，均可请求加入经核准课题的出版物作者列表。除**建设者**外，其他要求加入作者列表者均需提供一份简短说明，描述其对课题的贡献。作者署名权的请求须提交给经批准的课题负责人，由其负责加入作者列表。

对于大多数的 GSJTSS 科学类文章，作者顺序依据‘两组’系统。第一组作者，即分析组，由对文章撰写、文章所描述的具体分析有大量投入的人员组成。第二组包含文章其他所有作者，且以字母顺序排列在第一组作者之后。要求加入作者列表的**建设者**将被按照字母顺序列入其中。

撰写文章的相关人员决定分析组成员以及分析组内成员排序，正如其撰写其他非 GSJTSS 科学类文章时确定作者成员及成员排序。

分析组可以混合两组作者，并以字母排序。

若出现有关分析组成员或作者次序的异议，相关作者须提请 **ArCo** 裁决。

发表一篇科学类文章的步骤如下：

- i. 一篇科学类文章完成草稿时，课题负责人将文章提交给出版协调员，协调员负责将文章暂挂在出版物档案供成员获取，并电子邮件通知合作者。通告必须指明此文章将投到哪份期刊。GSJTSS 成员需在两周时间向课题负责人（同时抄送给出版协调员）提出评论、请求加入作者等。为使流程合理化，应采用一个自动系统来查询**建设者**及正面回应他们的作者署名要求。**建设者**被鼓励只加入自己已阅读且对结果认可的文章作者列表中。

任何成员均可联系课题负责人，请求加入作者或对张贴的文章做贡献。然而，除非此请求来自**建设者**，否则此请求不一定被批准。

出版协调员检查作者、参考文献、致谢是否恰当的代表了对此出版物的贡献，尤其要检查是否引用了恰当的 GSJTSS 技术类出版物。出版协调员亦需在两周时间内落实这一责任。

- ii. 作者依据合作者所提意见建议酌情修改文章。在第二周结束，或在修改过程结束，以较晚的版本为最终版本，并张贴于出版物档案。从此节点之后，

文章及其附带资料可以投出以待发表。作者可以在审稿前或后上传文章到 **astro-ph**，或者也可以不用 **astro-ph**。文章直到课题负责人解除禁令后才算公开，即当它出现在出版物档案中（或直到它公开出现在 **astro-ph** 或期刊杂志，负责人应忽略此前解除禁令）。直到禁令解除，未经负责人允许，文章及其结果不可公开引用、不可在其他出版物中引用等。

- iii. 当课题团队按照外部审稿意见修改文章后，须将最终被接收或发表的版本放到出版物档案。

遇到关键科学或科学结果的有关信用、作者权限、违约问题，出版协调员与相关的课题负责人、作者、**GSJTSS** 成员一起解决。若有未解决的争议，须提请 **ArCo** 最终决议。

9. 技术类文章

工作在特定单元或子系统的人员可撰写相应的技术类文章，作者次序由作者决定并经运行中心批准。运行中心负责技术类文章的及时发表。运行中心与工作组协商，以确保巡天所用选源算法的发布。科学委员会负责决定每类文章需要引用哪些技术类文章。

一篇技术类文章完成草稿后，提交给出版协调员，再由协调员将其暂挂在出版物档案，并电子邮件通知合作者。通告必须指明此文章将投到哪份期刊。出版协调员将检查作者、参考文献、致谢都恰当的代表了对此出版物的贡献。

出版协调员及 **GSJTSS** 成员将有两周时间向责任作者提交意见建议。**建设者** 无权加入技术类文章作者列表。认为自己对文章有贡献的成员可以请求作者权限，但这类请求未必一定会被采纳。

在将文章投到合适的期刊和公共电子文献库之前，责任作者必须将最终版的草稿放在出版物档案。文章的内容可以在之后的公众展示中引用。

技术类文章若按照外部审稿意见进行了修改，责任作者须将最终版本放进出版物档案中。

当出版协调员遇到不能解决的有关技术类出版物的争议（作者权限或内容），须提请 **ArCo** 最终裁决。

10. 数据发布类出版物

科学委员会将指定某人负责每次 **GSJTSS** 数据发布的文章的出版。此人即为数据发布类文章的责任作者。文章其他作者可以按照字母排序。作者人选包括：对此数据发布类文章撰写有贡献的人，或对文章所述数据的产出和检验有所贡献的人。**建设者** 自动列入作者名单。**建设者** 必须被通告其名字已被列入作者列表，并且可以请求移除其姓名。认为自己对文章有贡献的成员可提出请求作者权限，但这类请求未必被采纳。

此类文章须提交给出版协调员，协调员再将其暂挂到出版物档案，并电子邮件通知合作者。通告必须指明此文章将投到哪家期刊。出版协调员将检查作者、参考文献、致谢都恰当的代表了对此出版物的贡献。

出版协调员及 GSJTSS 成员将有两周时间向责任作者提交意见建议。

在将文章投到合适的期刊和公共电子文献库之前，责任作者必须将最终版的草稿放在出版物档案。文章的内容可以在之后的公众展示中引用。

数据发布类文章若按照外部审稿意见进行了修改，责任作者须将最终版本放进出版物档案中。

当出版协调员不能解决的有关数据发布类出版物的争议（作者权限或内容），须提请 ArCo 最终裁决。

11. 会议文集

在口头或张贴展示等方面，学术研讨会或正式会议的发言者和报告人，在与团队联系协商的前提下，可以利用未公开发表的 GSJTSS 结果，并希望给予 GSJTSS 合作者及对得出结果进行展示和描述作出贡献的人员合适的署名权。

由于页码限制，会议文集的作者署名权无需依据上述政策。但是，任何不依据此作者权限政策的会议文章，只能包含一些已发表或已投待发表文章的定量结果（例如表格，图），且必须引用这些文章作为结果的第一来源。这一要求的理由是，一个单独作者或一小组作者的会议文章不应成为 GSJTSS 合作成果的主要参考文献。如果依据这段文字的规定投了一篇会议文章，且不想接收合作者请求，就应该在将会议文集提交给出版协调员的同时予以声明。

对 GSJTSS 课题状况或科学结果的主要概述类会议文集，应该在作者列表后附上“for the Guoshoujing Telescope Collaboration”。会议类文章有一个简化的必引技术类文章列表。

会议类文章须提交给出版协调员，协调员再将其暂挂到出版物档案，并电子邮件通知合作者。通告必须指明此文章将投到哪份期刊。出版协调员将检查作者、参考文献、致谢都公正的代表了对此出版物的贡献。

出版协调员及 GSJTSS 成员将有一周时间向责任作者提交意见建议。

当出版协调员遇到不能解决的有关会议文集的争议（作者权限或内容），须提请 ArCo 最终裁决。

12. 摘要

在口头或展览展示，学术讨论会或会议的发言者和呈现者，可以与团队联系协商，利用未公开发表的 GSJTSS 结果，并预计给予 GSJTSS 合作者及对得出结果进行展示和描述的人员以合适的信用。同样适用于这些展示中的摘要。

科学类摘要应包含分析组，但不须包含建设者。对 GSJTSS 课题状况或科学结果的主要概述类摘要，应在作者列表后附上“for the Guoshoujing Telescope Collaboration”。

摘要须提交给出版协调员，协调员再将其暂挂到出版物档案，并电子通知合作者。通告必须指明此摘要将出现在何处。

出版协调员要努力解决成员提出的任何问题,但通常不需复审已投摘要的内容。任何未解决的争议,须提请 **ArCo** 最终决议。

13. 学位论文

学生的学位论文通常以单一作者发表。在这种情况下,利用 **GSJTSS** 数据发表单一作者的文章没有问题。但是,其中所有结果必须也在其他期刊发表过,且该文章遵从出版政策。基于 **GSJTSS** 数据的学位论文不得散布在互联网或其他电子文献库,直至其所用数据已公开释放,或期刊文章已发表或已投待发表。学位论文中须引用这些文章。不论是科学类还是技术类文章,单一作者的学位论文必须遵从此政策中所有其他相应的规定。

14. 版面费

郭守敬望远镜运行经费不用于任何科学类文章的版面费的支付。运行经费按照预算,只用于支付经核准的技术类、数据发布类文章的版面费。

Guoshoujing Telescope (LAMOST) Spectral Survey Publication Policy

(This is a translation of the legally enforceable Chinese version)

1. Introduction

This document describes the policies and guidelines governing the publication of scientific and technical results from the Guoshoujing Telescope Spectral Survey (hereafter GSJTSS). GSJTSS consists of two parts: the LAMOST ExtraGalactic Survey (LEGAS) and the LAMOST Experiment for Galactic Understanding and Exploration (LEGUE). The GSJTSS Publication Policy is designed to promote the scientific and technical accuracy of GSJTSS publications and ensure that fair credit is given to the authors and to other individuals who have contributed to building the Guoshoujing Telescope (GSJT), instruments, software, and operation. In addition, GSJTSS participants should be encouraged to carry out excellent science with the database and to publish their results in a timely manner. By agreeing collectively on how publication issues will be handled, we aim to minimize future disagreement among GSJTSS participants. Unless explicitly stated otherwise, this document applies to publications based on data taken with the GSJTSS telescopes which are not yet in the public domain, including both the LEGAS and the LEGUE survey data, as well as data taken with the survey equipment during the pilot survey.

This policy applies to: papers submitted to electronic archives and refereed journals; scientific or technical books or book sections about the GSJTSS and its results; conference abstracts, proceedings, and papers; theses; graphical or tabular materials or discussions of results, in electronic or hard-copy form, that are based on analysis of unreleased GSJTSS data and are generally accessible to non-GSJTSS participants (e.g., via a public WWW site or a publicly distributed preprint). Publications using GSJTSS data that has been public for less than a year, but for which the work was started before the data became public, are still subject to this data policy. Communications which are not directly covered by this document, but the dissemination of which should be consistent with it, include: oral presentations, posters, popular articles and books, circulars, telegrams, electronic announcements, press releases, and press conferences.

Responsibility for oversight of GSJTSS publications rests with the GSJTSS Publications Coordinator, who is a member of the Collaboration Counsel (**CoCo**). As the Survey progresses, the Publications Coordinator, with advise from the **CoCo**, may suggest changes to the publications policy for approval by the Scientific Committee. A list of approved projects and participants in those projects, as well as a LAMOST **Builder** list, are available to the Publications Coordinator, as described in the **GSJTSS Data Policy**.

2. Types of Papers

We distinguish several types of publication: "scientific papers", "technical papers", "data release papers", "unrefereed conference proceedings", "abstracts", and "theses". Scientific papers are based on analyses of, or presentations of, the GSJTSS data. Technical papers describe the GSJTSS instrumentation, calibration, software, strategy, and targeting algorithms. Technical papers may include some GSJTSS data for illustrative purposes. Data release papers describe the contents of GSJTSS data releases to the public. Unrefereed conference proceedings are short (≤ 6 ASP-style pages) status reports that are unlikely to become the primary reference for any technical information or scientific results. Abstracts are short (≤ 500 words) summaries of an oral or poster presentation. Abstracts that will be available to the public are subject to the **data policy**.

3. Publication Coordinator

The Publications Coordinator will ensure that publications follow the publication process outlined in this document. To aid in this process, he or she will maintain a common electronic WWW-based archive of all publications of the GSJTSS, hereafter the Publications Archive, with accompanying graphical and tabular material. The Publications Archive shall be accessible only to participants with approved data access. External Collaborators generally do not have access to the Publications Archive. The Publications Archive will contain the following proprietary information and links to public documents:

- i. A list of approved projects and team members
- ii. papers available for review by the collaboration
- iii. papers approved for public distribution and/or journal submission
- iv. the GSJTSS acknowledgement script required on all publications (see **Data Policy**)
- v. the list of technical papers that must be cited in all publications
- vi. the current **Builder** list
- vii. the current version of this Publication Policy.

The papers in this archive that have been approved for distribution may be used by any GSJTSS participant for purposes of public presentation, review articles, etc. The Operations Center will coordinate publication of the technical papers to ensure that technical documentation of the project is disseminated efficiently and promptly. The Scientific Committee will coordinate the publication of the data release papers.

Publications will be submitted by the PI of an approved project to the Publications Coordinator for review, a specified period prior to submission or public release (see below for time periods related to each publication type). During the review period, the paper is available to the Members of the GSJTSS, who may submit comments and requests to the paper authors or the Publications Coordinator. The Publications

Coordinator will check that the author list on each paper is appropriate and that the appropriate technical papers are cited. At this time **Builders** may make a request for co-authorship to the project PI. If the paper changes significantly during the review period, and particularly if the conclusions are changed, then the paper must be re-submitted and the review period starts over again. Anyone who has been placed on a paper's author list may make a request to the project PI, at any time, that her or his name be removed from the paper and it shall be removed.

Unresolved disputes about publication matters will be settled by the Arbitration Council (**ArCo**).

4. Standard acknowledgement

All GSJTSS papers that fall under the purview of this policy must contain the standard GSJTSS acknowledgement, which is maintained by the Spokesperson and posted on the Publications Archive. If this is not possible due to length constraints, the author may submit a request to the Publications Coordinator to include only part of the acknowledgement.

5. Members and Collaborators

Members and collaborators are defined by the **GSJTSS Data Policy**, which also describes how this list is updated. All Members and Collaborators must read and agree to the contents of this document before being granted access to the GSJTSS data. All Members and Collaborators must follow the rules of authorship and publication described in this document. Those who violate these rules are subject to losing their data access rights, according to the procedures set out in the **GSJTSS Data Policy**.

6. LAMOST Builders

In order to ensure that proper credit is given to those who were responsible for the construction and maintenance of the hardware, software, and other infrastructure of the GSJTSS, a list of LAMOST **Builders** will be generated and updated as described in the **GSJTSS Data Policy**, and posted on the Publication Archive. Each LAMOST Construction Team Member will have the automatic right to co-authorship on every GSJTSS scientific and data release paper.

7. Key Projects

The science projects deemed central to the GSJTSS are the Key Projects. Key Projects are approved by the Science Committee. The Key Projects may consist of many subprojects that produce individual papers, following the scientific paper publication policy. As the organizer of the research effort, the Key Project PI is responsible for approving the authorship order and publication readiness of the papers that result from this project. However, the PI should make sure that the authorship gives proper

credit to the team members who are actually doing the work, with first authorship normally going to the person who did the majority of the work in writing each paper.

8. Scientific papers

At the very least, any scientific paper will include in its author list any individual who has contributed to the scientific analyses presented, along with all **Builders** who have requested authorship. In addition, any team member of a Key or General project may request that his/her name be added to the list of authors on publications that result from the approved project, with the presumption that permission will be granted if there has been any significant contribution to that research project. With the exception of **Builders**, those requesting co-authorship should include a brief description of their contributions to the project. Authorship requests should be made to the approved project PI, who is expected to err on the side of inclusion in response to such requests.

For most GSJTSS scientific publications, the order of authorship will follow a "two-group" system. The first group of authors, the "analysis group", will consist of those who were heavily involved in the specific analysis described in the paper and in the writing of the paper. The other group will consist of all other authors on the paper and will be ordered alphabetically after the analysis group authors. **Builders** who request that their names be added to the paper will be included in the alphabetical list.

Membership in the analysis group and order of authorship within the analysis group should be decided by those involved in writing the paper, just as they would decide on membership and order in the author list if they were writing a non-GSJTSS science paper.

The analysis group is always permitted to join the two author groups and make their author order alphabetical.

In the event of a disagreement about membership in the analysis group or order of authorship, the authors may present their cases to the **ArCo**, whose decisions will be final.

The procedure for publishing a scientific paper is as follows:

- i. When a draft of the scientific paper has been written, the project PI submits the paper to the Publications Coordinator, who makes it available to the Members via the Publication Archive as a "pending" paper, and electronically notifies the collaboration. This announcement must indicate the journal to which the paper will be submitted. GSJTSS Members then have two weeks to make comments, request co-authorship, etc, to the project PI (with copies sent to the Publications Coordinator). To streamline the process, an automated system to query **Builders** or respond positively to their authorship requests should be used. **Builders** are encouraged to add their names only to papers that they have read and agree with the results.

Any member is allowed to contact the project PI to request authorship and contribute to the posted paper. However, it is not required that this request be granted unless the request is from a Builder.

The Publications Coordinator checks that the authorship, references, and acknowledgements fairly represent the contributions made to the publication, in particular checking that the appropriate GSJTSS technical publications are referenced. The Publications Coordinator carries out this duty within the same two week period.

- ii. The authors revise the paper as appropriate according to comments and suggestions from the collaboration. At the end of the two week period, or at the end of the revision process, whichever is later, the final version of the paper is posted to the Publications Archive. From this point forward, the paper and its results and accompanying material may be submitted for publication. The authors may upload the paper to astro-ph at any time before or after the refereeing process, or do not have to use astro-ph at all. The paper is not considered public until its embargo is lifted by the project PI, as indicated at its posting in the Publications Archive (or until it publicly appears in astro-ph or the publication to which it was submitted, should the PI neglects to lift the embargo before then). Until the embargo is lifted, the paper and its results cannot be quoted in public, referenced in other publications, etc. without the permission of the project PI.
- iii. When a project team makes revisions in response to an external referee report, it should post the final accepted or published version on the Publications Archive.

In cases of disputes about credit, authorship, infringement on key project science, or scientific results, the Publications Coordinator will work with the relevant PIs, author(s) and GSJTSS Members to resolve them. In cases where a dispute cannot be resolved, the matter may be appealed to the **ArCo**, whose decisions will be final.

9. Technical papers

Those who have worked on a particular component or subsystem will author the appropriate technical paper, with author order decided by the authors and approved by the Operations Center. The Operations Center will take responsibility for the timely publication of technical papers. The Operations Center will consult with the Working Groups to ensure the target selection algorithms for the survey are published. The Science Committee will be responsible for determining which technical papers will be required references for each type of paper.

After a technical paper is drafted, it is submitted to the Publications Coordinator, who posts it to the Publications Archive as a "pending" paper, and electronically notifies the collaboration. This notification must indicate the journal to which the paper will be submitted. The Publications Coordinator will review the paper to ensure that the

authorship, references, and acknowledgements fairly represent the contributions made to the publication.

The Publication Coordinator and GSJTSS Members will have three weeks to send comments to/request changes from the lead author. **Builders** do not have the right to add their names to technical papers. Members who feel they have contributed to the paper may request authorship, though these requests do not need to be honored.

The lead author must post the final draft on the Publications Archive before submitting the paper to the appropriate journal and public electronic archive. The contents of the paper may thereafter be quoted in public presentations.

When a technical paper is revised in response to an external referee report, the lead author will post the final version on the Publication Archive.

In the case of disputes about technical publications (authorship or content) which the Publications Coordinator cannot resolve, the matter may be appealed to the **ArCo**, whose decisions will be final.

10. Data Release publications

The Science Committee will appoint someone to be responsible for the production of the journal paper that describes each GSJTSS data release. That person will be the first author of the data release paper. The other authors in these papers will be alphabetical. Those who have contributed to the writing of the data release paper, or who have contributed in a substantive way to the creation or validation of the data described in the paper, are eligible to be authors. **Builders** will automatically be added to the author list. **Builders** must be notified that their names have been added to the author list, and may request that their names be removed. Members who feel they have contributed to the paper may request authorship, though these requests do not need to be honored.

These papers must be submitted to the Publications Coordinator, who posts them to the Publications Archive as a "pending" paper, and electronically notifies the collaboration. This notification must indicate the journal to which the paper will be submitted. The Publications Coordinator will review the paper to ensure that the authorship, references, and acknowledgements fairly represent the contributions made to the publication.

The Publication Coordinator and GSJTSS Members will have three weeks to send comments to/request changes from the lead author.

The lead author must post the final draft on the Publications Archive before submitting the paper to the appropriate journal and public electronic archive. The contents of the paper may thereafter be quoted in public presentations.

When a data release paper is revised in response to an external referee report, the lead author will post the final version on the Publication Archive.

In the case of disputes about data release publications (authorship or content) which the Publications Coordinator cannot resolve, the matter may be appealed to the **ArCo**, whose decisions will be final.

11. Conference Proceedings

In oral or display presentations, colloquium or conference speakers and presenters may, upon consultation with the team contact, make use of unpublished GSJTSS results, and are expected to give appropriate credit to the GSJTSS Collaboration and to those involved in producing the results shown or described.

Because of the practical constraints of page limits, submissions to conference proceedings are not required to follow the authorship policy above. However, any conference paper that does not follow this authorship policy may only include quantitative results (tables and figures, for example) based on papers that have been published or submitted for publication, and it must reference those papers as the primary source for the results. The rationale for this requirement is that conference papers authored by a single author or a small group should not become the primary reference for results that are properly products of the GSJTSS Collaboration. If you post a conference paper under the rules of this paragraph, for which you do not intend to accept co-authorship requests, you should note this when forwarding the proceedings to the Publications Coordinator.

Conference proceedings which are primarily overviews of the GSJTSS project status or scientific results should include the byline "for the Guoshoujing Telescope Collaboration" after the list of authors. Conference proceedings have a reduced list of technical papers that must be cited.

Conference proceedings must be submitted to the Publications Coordinator, who posts them to the Publications Archive as a "pending" paper, and electronically notifies the collaboration. This notification must indicate the journal to which the paper will be submitted. The Publications Coordinator will review the paper to ensure that the authorship, references, and acknowledgements fairly represent the contributions made to the publication.

The Publication Coordinator and GSJTSS Members will have a one week review period to send comments to/request changes from the lead author.

In the case of disputes about conference proceedings (authorship or content) which the Publications Coordinator cannot resolve, the matter may be appealed to the **ArCo**, whose decisions will be final.

12. Abstracts

In oral or display presentations, colloquium or conference speakers and presenters may, upon consultation with the team contact, make use of unpublished GSJTSS results, and are expected to give appropriate credit to the GSJTSS Collaboration and

to those involved in producing the results shown or described. The same applies to abstracts of those presentations.

Science abstracts should include the analysis group, but are not required to include **Builders**. Abstracts which are primarily overviews of the GSJTSS project status or scientific results should include the byline "for the Guoshoujing Telescope Collaboration" after the list of authors.

Abstracts must be submitted to the Publications Coordinator, who posts them to the Publications Archive as a "pending" paper, and electronically notifies the collaboration. This notification must indicate where the abstract will appear.

The Publications Coordinator will attempt to resolve any issues that are brought up by Members, but normally will not review the contents of submitted abstracts. Any unresolved disputes will be appealed to the **ArCo**, whose decisions will be final.

13. Theses

Student theses are usually published with a single author. It is okay for this case to publish a single author paper with GSJTSS data. However, it is required that all of the results also be published in other journals, with those papers following this publication policy. Theses based on GSJTSS data should not be distributed to the public via the WWW or other electronic archives until either the data on which it is based are public or journal articles describing its main results have been published or submitted for publication. These publications should be cited in the thesis. The single author thesis must follow all other appropriate provisions in this policy, whether it is a scientific or technical paper.

14. Page Charges

Guoshoujing Telescope operating funds will not be used to pay page charges for any scientific paper. The GSJT operating funds will pay page charges for approved technical and data release papers, subject to the budget.